PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(MBHB Case No. 02-090-B)

In re Applic	ation of:) }
	Bakthavatchalam et al.))
Serial No.:	09/910,442) Group Art Unit: 1624
Filed:	July 20, 2001) Examiner: Habte, Kahsay
For:	CAPSAICIN RECEPTOR LIGANDS)

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(b)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In order to comply with discretionary regulations 37 CFR §§1.97 and 1.98, attached hereto is Form PTO-1449 and copies¹ of the documents listed thereon. These documents contain information that the Examiner may consider to be important in deciding whether to allow the present application to issue as a patent.

United States Patents

- 1. U.S. Patent No. 4,439,606, issued March 27, 1984
- 2. U.S. Patent No. 4,450,272, issued May 22, 1984
- 3. U.S. Patent No. 5,354,747 issued August 11, 1994

¹To the extent that a document is listed and no copy of same is attached, then such document is not at the present time available to the undersigned or is available in the file of a parent application. If a listed document is not in the English language and an English translation is readily available, such translation is also attached; if translation is not attached it is not readily available to the undersigned. If a foreign language patent document is cited, and an English language equivalent is known to the undersigned, then such equivalent patent is also cited on the attached form along with the corresponding foreign language patent and a connecting arrow indicated therebetween; if no such English language equivalent is cited, then none is known to the undersigned.

- 4. U.S. Patent No. 5,422,064 issued June 6, 1995
- 5. U.S. Patent No. 5,461,047 issued October 24, 1995
- U.S. Patent No. 5,789,412 issued August 4, 1998
- 7. U.S. Patent No. 6,028,195 issued February 22, 2000
- 8. U.S. Patent No. 6,169,088 issued January 2, 2001
- 9. U.S. Patent No. 6,329,395 issued December 11, 2001

Foreign Patent Documents

- 10. European Patent No. EP 0 100 200 A1 issued February 8, 1984
- 11. European Patent No. EP 0 100 200 B1 issued May 6, 1987
- 12. European Patent No. EP 0 790 240 A1(issued August 20, 1997
- 13. European Patent No. EP 1 122 242 A1 issued August 8, 2001
- 14. International Patent No. WO 00/17163 issued March 30, 2000
- 15. International Patent No. WO 00/27822 ssued May 18, 2000
- 16. International Patent No. WO 00/52001 ssued September 8, 2000
- 17. International Patent No. WO 00/63171 issued October 26, 2000
- 18. International Patent No. WO 02/05819 issued January 24, 2002
- 19. International Patent No. WO 94/29286 issued December 22, 1994
- 20. International Patent No. WO 96/01820 issued January 25, 1996
- 21. International Patent No. WO 96/02525 A1 issued February 1, 1996
- 22. International Patent No. WO 96/21648 issued July 18, 1996
- 23. International Patent No. WO 98/00402 issued January 8, 1998
- 24. International Patent No. WO 98/14431 issued April 9, 1998

- 25. International Patent No. WO 99/07672 issued February 18, 1999
- 26. International Patent No. WO 99/51582 issued October 14, 1999
- 27. International Patent No. WO 99/64394 issued December 16, 1999

Other Documents - Including Author, Title, Date, Pertinent Pages, Etc.

- 28. Kwak et al., Neuroscience (1998(86: pp. 619-626.
- 29. Liu and Simon, Neuroscience Letters (1997) 228: pp. 29-32.
- 30. Ohkubo and M. Shibata, J. Dental Res. (1997) 76: pp. 848-851.
- 31. Pan and Sun, Tetrahedron Letters (1998) 39: pp. 9505-9508.
- 32. Santos and Calizto, Neuroscience Letters (1997) 235: pp. 73-76.
- 33. Urban, et al., Pain 89 (2000) pp. 65-74.
- 34. Wu et al., Gen Pharamcol (1996) 27: pp . 151-158.
- 35. Mokrosz et al (1992) J. Med. Chem, 35:2369-74 note compound 31 on pate 2370.
- 36. Prasad et al. (1968) J. Med. Chem. 11:1144-50 note table 4.

In accordance with MPEP Sections 609 and 707.05(b), it is requested that each document cited (including any cited in applicant's specification which is not repeated on the attached Form PTO-1449) be given thorough consideration and that it be cited of record in the prosecution history of the present application by initialing on Form PTO-1449. Such initialing is requested even if the Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection, or otherwise does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application.

The present Disclosure Statement is being submitted in compliance with 37 CFR 1.56 insofar as an Examiner might consider any of the cited documents important in deciding whether to allow the application to issue as a patent, but the citation of each

document is not to be construed as an admission that such document is necessarily relevant or prior art. No representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the Examiner, in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 CFR 1.104(a) and 1.106(b) and, in the course of each search, will review for relevance every document cited on the attached form even if not initialed.

Respectfully submitted,

Early and favorable consideration is earnestly solicited.

Dated: July 2, 2003

McDonnell Boehnen Hulbert & Berghoff 300 South Wacker Drive, Suite 3200 Chicago, Illinois 60606 Telephone: (312) 913-0001

Facsimile: (312) 913-0002

Form PTO-1449 U.S. Department of Commerce Patent and Trademark Office SUPPLEMENTAL INFORMATION DISCLOSURI STATEMENT BY APPLICANT	e 02.000 B	Serial No. 09/910,442
OTATEMENT DATE	Applicant: Bakthavatch	alam, et al.
	Filing Date: July 20, 2001	Group: 1624

U.S. PATENT DOCUMENTS

Examiner Initial	No.	Document Number	Date	Name	Class	Subclass	Filing Date
	1.	4,439,606	3/27/84	Du			
	2.	4,450,272	5/22/84	Du			
	3.	5,354,747	8/11/94	Hansen			
	4.	5,422,064	6/6/95	Pieper			
	5.	5,461,047	10/24/95	Hansen			
	6.	5,789,412	8/4/98	Halazy			
	7.	6,028,195	2/22/00	Cho			
	8.	6,169,088	1/2/01	Matsuno			
	9.	6,329,395	12/11/01	Dugar			

FOREIGN PATENT DOCUMENTS

Examiner Initial	No.	Document Number	Date	Country	Class	Subclass	Transla Yes	ntion No
IIIIII	10.	EP 0 100 200 A1	2/8/84	EPO				
	11.	EP 0 100 200 B1	5/6/87	EPO				
	12.	EP 0 790 240 A1	8/20/97	EPO				
	13.	EP 1 122 242 A1	8/8/01	EPO				
	14.	WO 00/17163	3/30/00	PCT				
	15.	WO 00/27822	5/18/00	PCT				
	16.	WO 00/52001	9/8/00	PCT				
	17.	WO 00/63171	10/26/00	PCT				
	18.	WO 02/05819	1/24/02	PCT				
	19.	WO 94/29286	12/22/94	PCT				
	20.	WO 96/01820	1/25/96	PCT			<u> </u>	
	21.	WO 96/02525 A1	2/1/96	PCT				
	22.	WO 96/21648	7/18/96	PCT			<u> </u>	
	23.	WO 98/00402	1/8/98	PCT	<u> </u>			

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Form PTC-1449 U.S. Department of Commerce Patent and Trademark Office SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Atty. Docket No. 02-090-B	Serial No. 09/910,442
	Applicant: Bakthavatchalam, et al.	
	Filing Date: July 20, 2001	Group: 1624

Examiner Initial	No.	Document Number	Date	Country	Class	Subclass	Trans Yes	lation No
	24.	WO 98/14431	4/9/98	PCT				
	25.	WO 99/07672	2/18/99	PCT			_	
	26.	WO 99/51582	10/14/99	PCT				
	27.	WO 99/64394	12/16/99	PCT				

OTHER DOCUMENTS - Including Author, Title, Date, Pertinent Pages, Etc.

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	28.	Kwak et al., Neuroscience (1998) 86: pp. 619-626.
	29.	Liu and Simon, Neuroscience Letters (1997) 228: pp. 29-32.
	30.	Ohkubo and M. Shibata, J. Dental Res. (1997) 76: pp. 848-851.
	31.	Pan and Sun, Tetrahedron Letters (1998) 39: pp. 9505-9508.
	32.	Santos and Calizto, Neuroscience Letters (1997) 235: pp. 73-76.
	33.	Urban, et al., Pain 89 (2000) pp. 65-74.
	34.	Wu et al., Gen Pharamcol (1996) 27: pp . 151-158.
	35.	Mokrosz et al (1992) J. Med. Chem. 35:2369-74 - note compound 31 on pate 2370.
	36.	Prasad et al. (1968) J. Med. Chem. 11:1144-50 – note table 4.

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